Applicant: Jones, Jennifer Organisation: Galapagos Conservation Trust

Funding Sought: £82,628.00

## DIR28CC\1077

## Co-Galapagos: catalysing community action for biodiversity conservation and sustainable development

The Co-Galapagos project supports pioneering community leaders to advance 40 prioritised UN Sustainable Development Goal targets in harmony with biodiversity conservation in Galapagos, Ecuador. Together we are strengthening local capacity to drive projects addressing pressing social, economic and ecological issues - building skills and a collaborative network. Project results will be synthesised to generate policy advice to amplify the work and voices of the community in policy, particularly under-represented groups such as women and youth, representing a platform for change.

### **Section 1 - Contact Details**

#### **PRIMARY APPLICANT DETAILS**

TitleMissNameAliceSurnameMarksOrganisationGalapagos Conservation Trust



#### **CONTACT DETAILS**

TitleDrNameJenniferSurnameJonesOrganisationGalapagos Conservation Trust

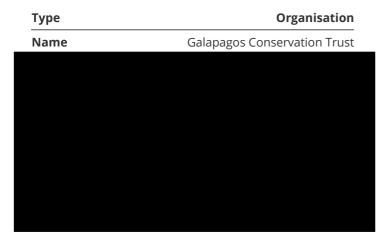


#### **CONTACT DETAILS**

TitleDrNameSophiaSurnameCooke



### **GMS ORGANISATION**



### **Section 2 - Title & Summary**

#### Q3. Title:

Co-Galapagos: catalysing community action for biodiversity conservation and sustainable development

#### Q4. Summary

Please provide a brief summary of your project, its aims, and the key activities you plan on undertaking. Please note that if you are successful, this wording may be used by Defra in communications e.g. as a short description of the project on the website.

#### Please write this summary for a non-technical audience.

The Co-Galapagos project supports pioneering community leaders to advance 40 prioritised UN Sustainable Development Goal targets in harmony with biodiversity conservation in Galapagos, Ecuador. Together we are strengthening local capacity to drive projects addressing pressing social, economic and ecological issues - building skills and a collaborative network. Project results will be synthesised to generate policy advice to amplify the work and voices of the community in policy, particularly under-represented groups such as women and youth, representing a platform for change.

## Section 3 - Title, Dates & Budget Summary

#### Q5. Project Country(ies)

Which eligible host country(ies) will your project be working in? Where there are more than 4 countries that your project will be working in, please add more boxes using the selection option below.

Country 1	Ecuador	Country 2	No Response
Country 3	No Response	Country 4	No Response

#### Do you require more fields?

No

#### Q6. Project dates

Start date:	End date:	Duration (e.g. 2 years, 3 months):
01 April 2022	30 September 2023	18 months

#### Q7. Budget summary

Year:	2022/23	2023/24	Total request
Amount:	£52,247.00	£30,381.00	£
			82,628.00
Q8. Proportion o	f Darwin Initiative budget e	expected to be expended in	n eligible 84

#### Q9a. Do you have matched funding arrangements?

Yes

#### What matched funding arrangements are proposed?

We have secured a grant from the Evolution Education Trust for secured, of which is seed funding for 2021/22 and
is matched funding against this grant period. In-kind funding of will be provided by GCT towards staff
salary, UK based internships and overheads, will be provided by King's College, part of the University of
Cambridge, towards the Project Leader's salary and will be provided by our partner FUNCAVID (local NGO) towards
staff time. GCT and FUNCAVID will aim to raise a further in grants and individual donations as matched funding
towards this project in 2022 and 2023 of which will fund community lead projects. This will include utilisation of
the Co-Galapagos tourist donation mechanism that was trialled in 2021 and that will form the basis of the sustainable
funding source after the end of this project period.

#### Q9b. Total confirmed & unconfirmed matched funding (£)



## Q9c. If you have a significant amount of unconfirmed matched funding, please clarify how you will fund the project if you don't manage to secure this?

will be raised from the tourist donation mechanism and by supporting community leaders to apply for small grants. Since July 2021, this mechanism has raised and with increased profile and capacity (including applying for a grant from a US foundation), we expect to meet this target. The number of community projects funded will scale with the amount of funding raised. GCT will raise towards this project and has a strong fundraising operation. GCT has committed to funding this from unrestricted funds if the fundraising target is not met (see Letter of Support).

## Section 4 - Project need

#### Q10. The need that the project is trying to address

Please describe evidence of the capability and capacity need your project is trying to address with reference to biodiversity conservation and poverty reduction. For example, how have you identified the need? Why should the need be addressed or what will be the value to the country?

## Please cite the evidence you are using to support your assessment of the need (references can be listed in a separate attached PDF document).

Galapagos, Ecuador, is a UNESCO World Heritage Site renowned for high levels of endemic biodiversity. Nature-based tourism drives 80% of the local economy(1) but has led to rapid population growth(2), pollution(3) and the spread of invasive species(4). Galapagos' towns are overcrowded, and social services are overwhelmed and substandard with inequalities between communities evident, including high levels of gender violence(5). Uneconomical agricultural production has resulted in high reliance on food imports raising concerns for future food security(6). In 2010, over half of

Galapagos' 30,000 residents were living in poverty(7), with financial issues exacerbated by the collapse in tourism during COVID-19. Fisheries income is also important, but unsustainable practices are frequently resorted to during economic stress(8), highlighting the need for a more diverse economic model to ensure biodiversity is conserved whilst development goals are met.

Although actions to improve socioeconomic issues often directly support biodiversity protection, these are typically treated as isolated topics across research, policy and funding. Much international funding in Galapagos is channeled to biodiversity research in protected areas (often ran by large organisations with capacity to generate unrestricted funding and invest in project management), leaving a major gap for community-led projects that are generally focused on tackling urban impacts that receive little attention, yet present substantial risk to biodiversity.

In 2018, the Ecuadorian Government announced their ambition to achieve the UN's SDG Agenda in Galapagos and in 2020, the Government Council of Galapagos (CGREG) published the 'Galapagos 2030 Plan'(9) defining a pathway to achieving a secure, equitable, sustainable and low carbon island economy. To realise this vision, the Galapagos Hub was created in 2021 to facilitate knowledge exchange, develop solutions and progress research and policy. Building on political will to address poverty and conservation issues in parallel and to champion community innovation, the current project, Co-Galapagos, was co-designed following an SDG workshop series (see Appendix, Fig. A1) that we delivered in 2021 in collaboration with CGREG and the community. Forty SDG targets were prioritised and contextualised for Galapagos, and their potential synergies and conflicts were identified. However, poor coordination between researchers and policymakers and the limited forum for community involvement are major barriers to realisation. Co-Galapagos is driving progress towards the priority targets, covering both environmental and social aims, by facilitating research coordination, activating community solutions and aiding policy processes, ensuring linkage between all three. We are already developing tools to support research coordination (UNESCO-funded), but this application concerns growing capacity to elevate community solutions.

Traditionally, Galapagos has been hailed as a natural laboratory for studying biological evolution within a small system. Today, to tackle the climate and biodiversity loss crises, the need to consider a more holistic Galapagos is paramount to its conservation, one where an understanding of coupled urban-natural systems informs a future where human communities and nature coexist in harmony(10). By achieving the SDGs, we aim to ensure the survival of this ecological hotspot and once again embrace the microcosm of Galapagos to bring a hopeful example to the world to benefit humanity and nature elsewhere.

## **Section 5 - Darwin Objectives and Conventions**

#### Q11. Biodiversity Conventions, Treaties and Agreements

Q11a. Your project must support the commitments of one or more of the agreements listed below.

Please indicate which agreement(s) will be supported.

- ☑ Convention on Biological Diversity (CBD)
- ☑ Global Goals for Sustainable Development (SDGs)

#### Q11b. National and International Policy Alignment

Please detail how your project will contribute to national policy (including NBSAPs, NDCs, NAP etc.) and in turn international biodiversity and development conventions, treaties and agreements that the country is a signatory of.

In 2018, the Ecuadorian Government declared the SDG 2030 Agenda a public policy and called for the alignment of all planning tools, local and national, to the SDGs. Co-Galapagos aids in achieving the SDG 2030 Agenda in Galapagos by supporting capacity building at a local level and grassroots initiatives to advance the 40 prioritised SDG targets. The process of target prioritisation also ensured that they directly support the 2030 Galapagos Plan(9). Through promoting capacity building, funding community projects that advance SDGs, and providing sustainably coherent policy advice, Co-Galapagos aligns to Results 1, 4, 7-10, 11a, 12-14, 16, and 19 of the Ecuadorian NBSAP and to objectives 1, 3, 5-7, 11-13 and 15 of the Opportunities Generation Plan (National Development Plan).

The 40 targets cover both socially and environmentally focused SDGs. Achieving these will improve access to quality services (including water, sanitation, health care and education) and reduce inequality and gender violence. Energy provision and transport systems will become sustainable, and the promotion of innovation and technology will provide employment opportunities. Meanwhile endemic and native biodiversity will be protected and ecosystems will be more resilient to climate change. Fishing activities will become sustainable, the illegal wildlife trade will cease, and there will be no disconnect between society and nature in Galapagos .

By promoting sustainability in Galapagos and protecting native biodiversity, this project will support all five strategic goals of the Aichi Biodiversity Targets – in particular, Co-Galapagos' aims align with Goal E. Our work will also steer Galapagos towards the CBD 2050 vision of 'living in harmony with nature'. Achieving the SDGs in Galapagos is essential; any action protecting nature will influence the local population and improve sustainable livelihoods and vice versa. We believe the Archipelago can be an example to the world for SDG achievement.

### Section 6 - Method, Change Expected, Gender & Exit Strategy

#### Q12. Methodology

Describe the methods and approach you will use to achieve your intended Outcome and contribute towards your Impact. Provide information on:

- How have you reflected on and incorporated evidence and lessons learnt from past and present similar activities and projects in the design of this project?
- Justification of your proposed approach, and how you will undertake the work (materials and methods).
- What will be the main activities and where will these take place?
- How you will manage the work (governance, roles and responsibilities, project management tools, risks etc.).
- What practical elements will be included to embed new capabilities?

Our approach: Since its inception, Co-Galapagos has been designed as a platform for change, specifically focusing on the 40 prioritised SDG targets. Through our pilot work, we have identified capability and capacity needs that must be addressed for the community aspect of the initiative to maximise impact. We have assembled a multidisciplinary team to address these needs and strengthen capacity at several levels. At an organisational scale, we will improve the capability of a local NGO, FUNCAVID, to manage a growing community project portfolio, equipped to promote best practices including health and safety, safeguarding and diversity, equality and inclusion. Through one-to-one support from an experienced Project Coach, we will provide training in project design, fundraising and communications to increase the impact of community efforts to achieve the SDGs via policy change or social innovation and secure future support to ensure long-term impact. We will support a minimum of 11 internships (three UK-based - not included in grant request), providing training opportunities for young people, increasing project communications capacity and supporting the formation of a connected network of local change-makers.

Materials & Methods: Drawing on GCT's experience in programme design and operational policy development, we will support FUNCAVID to strengthen project processes, co-developing Co-Galapagos policies through a series of knowledge exchange workshops (via Zoom) structured around key operational and project management themes. To support scaling the project in 2023-2025, we will collaborate on a Strategic Plan building on our Theory of Change (ToC) (see Appendix, Figure A2). We will strengthen existing project selection criteria (see Q13) to ensure projects are designed in a way that their results are measurable and can be translated to inform policy advice or progress social innovation. We will develop methods to enhance data collection from community projects and conduct an annual questionnaire for all participants to monitor perceptions and identify opportunities.

Main activities: The Project Coach will be contracted immediately on securing funding, and they will provide one-to-one support to our community project leaders (estimated 10 - 20 per year). Interns will be recruited on an ongoing basis from July 2022 and will be assigned to one of two categories: 'Projects & Communications' or 'Research & Policy', and matched with an appropriate supervisor. Communications interns will play a central role in the delivery of the communications plan across the project duration, benefitting from a UK-Galapagos intern 'buddy system' for increased reach and cross-cultural insights. The Policy Lead will directly support community project leaders with policy-linked advice, visits and drop-in sessions and coordinate the meeting of policy needs. They will also work with the Project Leader to produce 6-monthly

bilingual policy briefings for the Galapagos Policy Advice Group (part of the Galapagos Hub). The Project Leader will monitor overall progress of Co-Galapagos and individual projects against the 40 targets, providing advice to community project leaders in this respect where necessary.

Governance: All partners will sign an MOU and enter a partnership agreement outlining deliverables associated with project funds, including ethical and legal requirements in project selection or recruitment processes. We have assembled an experienced Project Board to support good governance, including representatives from GCT, the Scalesia Foundation (local NGO), Talking Transformations (policy experts), the University of Cambridge, Evolution Education Trust (EET) and the Galapagos Hub (see Appendix, Letters of endorsement, provided by EET and the Galapagos Hub).

Project Team Roles & Responsibilities: The Project Leader is responsible for the overall management of the project and its focus towards the 40 targets and to provide the main liaison between the UK team and the Galapagos team. On the ground, FUNCAVID's Director is responsible for signing off new community projects and ensuring they run as planned with the support of Co-Galapagos Project Liaison. The Project Coach will report to the Project Leader but will work closely with FUNCAVID staff to strengthen their capacity. The Policy Lead will be responsible for generating policy advice and co-supervising Research & Policy interns with the Project Leader. In the UK, GCT will oversee the communications interns, undertake M&E, and will be responsible for developing Co-Galapagos policies and facilitating training on safeguarding best practice.

Embedding new capabilities: We will develop a suite of project management tools for usage in Co-Galapagos that are also applicable for FUNCAVID's other community-focused projects. We will share best practice knowledge between the partners and reach out to our networks for additional support as needed for added expertise. Part of this project will support the development of a Co-Galapagos network that will support ongoing learning and forge new collaborations.

#### Q13. How will you identify participants?

How did/will you identify and select the participants (individuals and organisations) to benefit from the capability and capacity building activities? What makes these the most suitable participants? How will you ensure that the selection process is fair and transparent?

We will support a minimum of ten projects conceptualised by community members. The Project Coach will reach out to potential community project leaders (who must be Galapagos residents) to optimise their ability to submit a well-designed project, which should demonstrate uniqueness to (and knowledge of) other initiatives and a clear set of objectives and delivery timeline. They will also ensure that project leaders have a good understanding of the need to link with at least one of the SDG priority targets and that their results should be tangible and measurable for mapping against SDGs and subsequent generation of policy advice. For projects that are events or training based, the Project Coach will support project leaders to consider fair and transparent selection processes within their project design. Community project leaders will be asked to submit a proposal form and a video explaining their project and how it links with achieving the SDGs in Galapagos. Value for money is considered by our team, with projects that identify long-term impacts favoured. We will continue to review our criteria for project selection as we write the Co-Galapagos Strategic Plan (2023 - 2025) in 2022 to ensure scale-up is manageable and sustainable and that project selection criteria are robust and easy to communicate. We will recruit eight interns in Galapagos (at least with Ecuadorian nationality, if not from Galapagos) and three interns in the UK (fluent in Spanish). Interns usually have a Bachelor's degree or relevant experience in policy, development or communications, and a perceived interest to engage in additional learning opportunities will be favoured. For community project leaders and interns, we will apply our new selection process accounting for our Diversity, Equality and Inclusion (DE&I) targets (including 50:50 gender split and at least 50% of project leaders being < 30 years old).

#### Q14. Gender equality

All applicants must consider whether and how their project will contribute to reducing inequality between persons of different gender. Explain your understanding of gender equality within the context of your project, and how is it reflected in your plans.

GCT supports DE&I within our organisation and within our projects and is an equal opportunities employer. Our DE&I policy sets out our commitment to include equality in all aspects of our work, implemented through an organisation-wide action plan for 2022. Through Co-Galapagos, we will share our policies and action plan with our partners and co-develop a set of policies for the project, ensuring that addressing gender equality is a central theme of our training pack for Co-Galapagos community project leaders. Celebrating inspirational women working in leadership capacities across the

community, research, and political landscapes will be a major theme in our external communications during the project period.

Not only will this project ensure equal opportunities for participants, addressing the inequalities faced by women in Galapagos is a primary focus. Gender equality is vital to accelerating progress (and triggering positive multiplier effects) across the 17 SDGs(11), which directly aligns with the overarching mission of Co-Galapagos. Furthermore, in our initial work with community stakeholders to prioritise SDG targets, 5.2 (reducing violence against women and girls) was identified as one of the most urgent priorities, along with access to sexual health services and education. As such, through the Co-Galapagos model, we are already supporting three women-led projects to raise awareness of gender-based violence; educating girls on healthy relationships, sexual health and contraception; and identifying the key needs of local women for the generation of policy advice to advance gender equality. We are also directly supporting the establishment of a new local women-led NGO aimed at increasing gender equality on Isabela island, a small community that receives much less international NGO attention than Santa Cruz and San Cristobal islands.

#### Q15. Change expected

Detail the expected changes to in-country capability and capacity will deliver for both biodiversity and poverty reduction. You should identify what will change (the Outcome) and who will benefit a) in the short-term (i.e. during the life of the project) and b) in the long-term (after the project has ended).

When talking about how people will benefit, please remember to give details of who will benefit, differences in benefits by gender or other layers of diversity within stakeholders, and the number of beneficiaries expected. The number of communities is insufficient detail – number of households should be the largest unit used.

Collectively we are working towards a more equitable, inclusive and sustainable future for the Galapagos community; increasing capacity and capability for social innovation and local actions towards global conservation and development targets. By focusing on raising the profile of under-represented groups such as women and youth, we aim to address long-standing inequalities and improve the representation of diverse community voices to Government.

Biodiversity benefits: Many community projects directly benefit biodiversity conservation (e.g. plastic pollution clean-ups, invasive plant removal) focusing on coastal habitats and the transition zone between urban and Park area. Longer term, more participatory processes will better connect the community and conservation actors as opposed to being seen as separate, often conflicting, entities. The benefits of community participation, and particularly women, has been recognised by the Convention on Biodiversity and recently at the COP26 climate conference as a key contributor to climate justice and resilience(12). By designing initiatives that not only protect biodiversity but also foster human rights and aspirations, we are reframing our approach to conservation to embrace the urban-natural system that Galapagos now is.

Poverty reduction: The Galapagos economy is slowly recovering from COVID-19, which shut down tourism and resulted in major concern around the lack of economic diversification. Continuing action to reactivate Galapagos in a more sustainable way is a major part of the Galapagos 2030 Plan. Co-Galapagos provides a platform to grow short-term international efforts to reactivate the Galapagos economy such as that by UNDP that raised for a 'cash for work' beach cleaning project(13).

In the short-term, via our 10 community projects, we estimate 180+ beneficiaries (~30 project team members trained, ~150 people participating directly). Our 11 interns will benefit from skills gained through paid work experience, as well as from the connection to our international networks, including the academic, NGO, policy and industry sectors for careers advice/mentorship. We anticipate a local reach of 1,000 community members through our communications activities and a further international reach of approx. 20,000 via the social media followings of core partners.

By strengthening the capacity of a local NGO to manage a growing project portfolio, to fundraise and communicate results effectively (therefore attracting further support), we are enabling greater autonomy to deliver community-led projects and reduce the reliance on international funding bodies. A major output of this project period will be to work together to develop a Main Darwin Initiative application in 2023/2024 as we look to scale further, increasing our reach to more underserved communities in Galapagos as well as further afield.

In the medium to long-term, we envisage that this project will provide the foundation for a sustainable funding mechanism for community projects through to 2030 in Galapagos. By improving the diversity of voices reaching across all levels of

Government, we have the greatest chance of achieving sustainable policy solutions that will work. By developing this communication channel and ensuring representation and accountability, we can work to reduce corruption (or perceived corruption) that was identified as the highest priority SDG target by the community.

#### Q16. Exit Strategy

How will the built capability and capacity be maintained in-country? How will the new capability and capacity be replicated to strengthen additional future environmental leaders beyond the project? How will be the benefits be scaled? Are there any barriers to scaling and if so, how will these be addressed? How will the materials developed during the project be made more widely accessible during and after the project?

Maintaining in-country capacity: By developing the project management, fundraising and communications capability of local NGO FUNCAVID, we are supporting them to drive grassroots projects linked with preserving biodiversity and achieving the SDGs into the future, building international links for support. As the capability of FUNCAVID for fundraising increases, we will consider the feasibility of a full-time Co-Galapagos Project Coach role within the staff team in 2024, building on the work of our Project Coach consultant in this project.

Previous scoping work has shown there is a lack of paid early career positions for young people (aged 18-30) from Galapagos. By providing paid internships and then the opportunity to apply for Co-Galapagos project funding, we are providing young people with a strong start in a career in conservation or sustainable development and more incentives for young people to stay in the Islands.

Opportunity to scale benefits: With the stronger project management systems introduced by this project, we expect to increase the quality and reach of Co-Galapagos projects and by supporting stronger communications, we hope to attract new donors, particularly via our tourist fundraising mechanism. Therefore, we will have the opportunity to scale benefits by supporting a higher number of community projects around Galapagos, reaching people with the highest need and lower international NGO attention such as communities in the highlands. By communicating our approach publicly, we are confident it will be of interest to other locations around the world, including the UK's Overseas Territories, also striving to reach SDG targets.

Barriers to scaling: The primary barrier to scaling in Galapagos is currently financial; without a reliable income stream, FUNCAVID will be unable to increase the staff team meaning that the number of live community projects will be limited. To scale up Co-Galapagos in the short-term, we will continue to require international grant funds. We will collaborate on researching and writing grants to support each other in building fundraising skills. However, we are keen to diversify income to increase sustainability in the long-term. We have collectively experienced the shock many tourists have at the socioeconomic issues visible in Galapagos and have identified that no easy way exists for potential donors to offer financial support directly to community projects. In 2021, the team established a financing mechanism to channel donations to community projects from tourists and international donors/NGOs, coordinated by FUNCAVID. FUNCAVID also takes a 10% overhead fee from these donations helping to support contributions to their staff salary costs. The tourist donation mechanism is key to sustainability and growth of the programme past the end of this project period.

Sharing lessons learned: All materials developed during the project such as policies and documentation will be freely accessible via the Co-Galapagos website. We will present elements of this project at the World Island Sustainability Summit in Galapagos in June 2022 (GCT is an invited speaker) and will continue to seek relevant international conferences where we can present our project model and support others looking to emulate a similar approach.

If necessary, please provide supporting documentation e.g. maps, diagrams, references etc., as a PDF using the File Upload below:



## **Section 7 - Risk Management**

#### Q17. Risk Management

Please outline the 6 key risks to achievement of your Project Outcome and how these risks will be managed and mitigated, referring to the <u>Risk Guidance</u>. This should include at least one Fiduciary, one Safeguarding, and one Delivery Chain Risk.

Projects should also draft their initial <u>risk register</u>, using the template provided, and be prepared to submit this when requested if they are recommended for funding. Do not attach this to your application.

Risk Description	Impact	Prob.	Gross Risk	Mitigation	Residual Risk
Fiduciary  Because grant funds are disbursed to project partners, there is a risk that the funds are not used as expected which would result in project delivery being delayed or suspended, harm to partner relations, damage to lead organisations reputation and potential loss of current/future funding.	Major	Unlikely	Major	Partners disbursing grants (GCT and FUNCAVID) will undertake due diligence on grant recipients (FUNCAVID and community leaders) and will agree to phased disbursements against reporting requirements, including financial. Any partner misusing project funds will be suspended from the project, and we will seek repayment for mishandled funds.	Minor
Safeguarding Safeguarding standards differ between places, cultures and organisations, and standards in Galapagos may not be aligned with GCT policy resulting in harm to those in contact with the project, a breach of safeguarding policy and potential failure of the project through loss of current/future funding.	Severe	Unlikely	Major	Partners will have safeguarding policies detailing how to address safeguarding through prevention (staff training, code of conduct, project design), enable safe and accessible reporting and appropriate responses to concerns. We will be supporting community-led projects which progress towards priority SDG targets for tackling gender violence, sexual health and abuse.	Moderate
Delivery Chain  There is a risk that partners do not have the capacity to deliver the project as intended, and community leaders do not get the training or support they need, which could reduce the impact of community projects and risk loss of confidence in the local delivery partner.	Moderate	Possible	Major	This is a collaboratively designed project which all partners support. All staff understand their roles and responsibilities within the project. The project will be strengthening local capacity, and we will use adaptive management to respond directly to issues from partners to ensure they can deliver the project as intended.	Minor

Risk 4  COVID-19 is an active pandemic, and there is a risk that a new variant of the virus or an outbreak in Galapagos could occur, resulting in increased travel and social distancing restrictions, which could impact project delivery and funding. This disease also presents a risk to life.	Major	Possible	Major	Galapagos has a high vaccine uptake, and travellers must be vaccinated or present a negative test prior to travel. Contingency plans will be prepared in the case of an outbreak. Our priority is the health of our team and participants, and local restrictions will be followed by all project partners.	Major
Risk 5  Contextual: Because policymakers can change or have competing demands, the risk is they may be unwilling to incorporate community project data into decision making, which would result in the project outcome not being met and reduces the impact of community-led projects.	Moderate	Unlikely	Moderate	Previous consultations with political actors have been very positive, suggesting there is political support. GCT's Policy Lead will ensure community projects are highlighted, and data/community views are incorporated into policy decisions. The mechanism's effectiveness will be assessed and improvements made by the project team and Project Board.	Moderate
Risk 6  Operational: The project has not yet secured all funding for this period and has no proven sustainable fundraising mechanism. The risk is the project is underfunded, causing delays to or reduction in project activities or project being unsustainable past this project period.	Major	Unlikely	Major	We have secured against the project including in kind support. GCT has a track record of high fundraising success, and a tourist donation mechanism is in place with additional resources to achieve our fundraising target. Further funding approaches are planned, and partners are committed to funding the project.	Minor

## **Section 8 - Implementation Timetable**

## Q18. Provide a project implementation timetable that shows the key milestones in project activities

Provide a project implementation timetable that shows the key milestones in project activities, linking them to your Outputs. Complete the Word template as appropriate to describe the intended workplan for your project ready for upload on Flexi-Grant.

#### Implementation Timetable Template

Please add/remove columns to reflect the length of your project. For each activity (add/remove rows as appropriate) indicate the number of months it will last, and fill/shade only the quarters in which an activity will be carried out.



## **Section 9 - Monitoring and Evaluation**

#### Q19. Monitoring and evaluation (M&E)

Describe how the progress of the project will be monitored and evaluated, making reference to who is responsible for the project's M&E.

Darwin Initiative projects are expected to be adaptive and you should detail how the monitoring and evaluation will feed into the delivery of the project including its management. M&E is expected to be built into the project and not an 'add' on. It is as important to measure for negative impacts as it is for positive impact. Additionally, please indicate an approximate budget and level of effort (person days) to be spent on M&E (see Financial Guidance).

GCT will be responsible for project M&E after initial co-adoption of the M&E plan and ToC by all partners at project start. All Co-Galapagos community projects and internships begin with a signed agreement between the project leader/ intern and FUNCAVID documenting key objectives, deliverables, budget, reporting requirements and justification for how the project will progress a priority SDG target. Whilst the project is running, monitoring visits and media collection will be undertaken by FUNCAVID, the Project Coach and the Policy Lead, who will support data collection relevant for the policy briefings. After completion of a project, the FUNCAVID team will collate reports within two months of project/ internship end dates. Each quarter, FUNCAVID will submit all project reports and data to GCT, who will manage evaluation logframes, identify changes to risks and opportunities, and monitor funds.

Building on our experience managing a research/practitioner network in the Eastern Pacific tackling plastic pollution, we will build strong communications channels between the core team with quarterly hybrid meetings supporting liaison between Galapagos and the UK. Regular email contact will be maintained by the Project Leader, ensuring that opportunities and challenges can be acted on and plans adapted within reason. We are also planning a 'wrap up' Zoom event in August 2023 to gather first-hand testimonials from project leaders and beneficiaries. This will be preceded by an online questionnaire to capture quantitative and qualitative data related to our identified indicators. GCT will prepare six-monthly summary reports for the Project Board.

Total project budget for M&E in GBP (this may include Staff, Travel and Subsistence costs)	
Percentage of total project budget set aside for M&E (%)	
Number of days planned for M&E	82

#### Section 10 - Indicators of Success

#### **Q20. Indicators of success**

Please outline the Outcome and Outputs of the project and how will you show that they have been achieved by using SMART indicators and milestones.

See the Monitoring, Evaluation and Learning Guidance, and internet resources, for advice on SMART indicators and milestones.

Please note that the number of participants in training is not an output, please consider how to measure the success of the training rather than participation in training.

In the table below please outline your Outcome and between 1-4 Outputs. Each statement should have between 2-3 SMART indicators and end target (figure/state/quality) including how you would evidence achievement – i.e. "Means of Verification".

	SMART Indicator	Means of Verification
Outcome  Galapagos community members, particularly women and youth, are supported to lead projects and	0.1 > 50% of the 10+ community project leaders and 10+ interns supported April 2022 - September 2023 are female.	0.1 & 0.2 Quarterly analysis of demographic information of funded project leaders and interns
enhance their skills, together advancing priority SDG targets via social innovation and development of	0.2 > 50% of the 10+ community project leaders and 100% 10+ interns	0.3a Policy briefing reports received by the Policy Advisory Group
more effective policy.	supported April 2022 - September 2023 are < 30 years old.  0.3 > 20% of the 40 priority SDG	0.3b Priority SDG targets mentioned/supported in the bilingual policy briefings
	targets are tangibly supported by community projects across social, economic and ecological themes by September 2023.	0.3c Before and after videos on Co-Galapagos website for completed projects and completed projects mapped against 40 targets.
Output 1 Capacity and capability of local NGO FUNCAVID strengthened to manage	1.1 Eight partner knowledge exchange sessions to strengthen Operational policies and Project Management processes delivered	1.1a Knowledge exchange session minutes/recordings with attendance record.
and channel funding into a growing portfolio of community projects.	between April - June 2022 (hybrid meeting format).	1.1b Policies & processes adopted across partners; policies available on the Co-Galapagos website.
	1.2 An average of three tourist donations of are received by FUNCAVID per quarter between April 2022 and September 2023	1.2a Quarterly accounts reviewed by FUNCAVID for amount raised from tourist donations
	1.3. A final draft of the Co-Galapagos 2023-25 Strategic Plan is complete by 30 September 2022.	1.2b Paper trials for grant disbursements to project leaders and paper trail (# of agreement to fund).
		1.3 2023-25 Strategic Plan available on FUNCAVID's website.

#### **Output 2**

Co-Galapagos projects strengthened via increased capacity to deliver tailored coaching for project leaders and facilitate their integration into a peer-learning network.

- 2.1 One new Co-Galapagos team member contracted as the Project Coach (April 2022) and inducted (April-June 2022).
- 2.2 Development of Co-Galapagos training resource pack by 30 June 2022 and delivery of tailored coaching of at least 10 community project leaders by 30 June 2023.
- 2.3 Co-Galapagos community peer-learning network established by 30 September 2022 with all project leaders connected with at least one expert/mentor.

- 2.1 Contract of Project Coach, attendance at knowledge exchange workshops.
- 2.2 Coaching session minutes.
- 2.3a Attendee lists for community network meetings and workshops (digital and in-person)
- 2.3b End of project questionnaire for project leaders (question answers on networks and collaboration).

#### Output 3

Paid internship scheme launched for 18-30 year-olds to drive Co-Galapagos communications and provide support for projects, research and policy activities.

- 3.1 At least one radio slot each for Santa Cruz, San Cristobal and Isabela islands to relaunch the improved Co-Galapagos internship scheme in June 2022.
- 3 .2. At least eight paid internships are delivered in Galapagos and three in the UK between July 2022 and 30 September 2023.
- 3.3. Eight interns contribute to the development and/or implementation of the Co-Galapagos Communications Plan by 30 September 2023.

- 3.1 Analysis of radio listeners during the intern promotion segment vs. enquiries made by prospective interns.
- 3.2a Payment records to interns and paper trail (signed agreements).
- 3.2b Intern supervisor reports.
- 3.2c Before and after internship surveys (evaluation of skills gained)
- 3.3a Communications audience engagement metrics tracked (e.g. social media likes/views, events attendance, # website visits).
- 3.3b Before and after videos produced for Co-Galapagos website for completed projects.

#### Output 4

Co-Galapagos becomes an integral part of the Policy Advisory Group to the Galapagos Hub, facilitating a communication channel between community voices and Government to advance priority SDG targets.

- 4.1 All 10(+) community project leaders consulted at project start on methods/data to support policy/social innovation across April 2022 - September 2023.
- 4.2 All 10(+) Co-Galapagos projects mapped to SDG targets with outputs synthesised to generate bilingual policy briefings for the Galapagos Hub, to be produced every six months (Sept 2022, Mar 2023, Sept 2023).
- 4.3 Briefings generated for the community network every six months to ensure communications flow both ways between policymakers and the community (Sept 2022, Mar 2023, Sept 2023).

- 4.1 Consultation minutes.
- 4.2a Projects mapped to priority SDG targets with the support of research/policy interns.
- 4.2b Email records of briefing papers delivered to the Galapagos Policy Advisory Group by Project Leader and Policy Lead.
- 4.3 Communication methods used (e.g. at community network events, WhatsApp).

#### **Activities**

## Each activity is numbered according to the Output that it will contribute towards, for example, 1.1, 1.2, 1.3 are contributing to Output 1.

- 1.1 Operations and Project Management meeting series delivered between partners to share existing resources, identify gaps and create an action plan for addressing them (including reaching out to our collective networks for best practice advice/resources).
- 1.2 Develop core Co-Galapagos policies for Safeguarding, Ethics, Counter Fraud & Anti-Money Laundering, Sustainability, Health & Safety and Diversity, Equality & Inclusion and associated risk assessment documentation with buy-in from all partners to adopt at all project levels.
- 1.3 Implement strengthened project management processes and project assessment criteria, supporting our monitoring, impact evaluation and SDGs target mapping.
- 1.4 Refine our sustainable fundraising mechanism, including opportunities for promotion to tourists (e.g. GCT's contacts of 100+ tourism industry representatives including Galapagos Naturalist Guides), and support its integration into FUNCAVID's operations.
- 1.5 Produce Strategic Plan for Co-Galapagos for 2023 2025 incorporating structured proposal calls, criteria for assessment and evaluation of community projects and funding strategy.
- 2.1 Recruit/receive proposals from community project leaders and agree projects according to funds raised (10 20 projects anticipated in the project period), ensuring diversity in projects covering social, economic and biodiversity themes.
- 2.2 Contract Co-Galapagos Project Coach upon notification of funding secured and facilitate their induction process in the first three months of appointment including involvement in knowledge exchange sessions (as in 1.1).
- 2.3 Project Coach develops training resources addressing most frequently reported needs from community projects to date, including project design (including setting milestones, outputs and measurable indicators), advice on writing funding proposals, communicating their work and building effective partnerships/ collaborations.
- 2.4 Project Coach conducts one-to-one meetings with community project leaders to develop and deliver a tailored training plan (e.g. resource sharing, group workshop/ seminar, mentor model).
- 2.5 Develop a Co-Galapagos community project network for peer-learning and to promote trust and inter-project/inter-stakeholder collaboration by providing regular coffee mornings (themed workshops/ Zoom sessions with guest community and international speakers) and using social media fora (Facebook and WhatsApp groups).
- 2.6 Project Coach conducts ongoing project monitoring and evaluation, including designing and sharing an annual questionnaire with Co-Galapagos participants.
- 3.1 Promote relaunch of internship scheme in Galapagos via local radio slots, highlighting two categories: (i) projects & communications (ii) research & policy.
- 3.2 Co-Galapagos interns recruited (ensuring a fair and transparent selection process) and matched with supervisors (within our Co-Galapagos project team) based on their internship category and location.
- 3.3 Co-Galapagos interns receive training/mentorship from supervisors via weekly meetings with a focus on DE&I, ethics and effective working.
- 3.4 Continue to strengthen Co-Galapagos intern network, combining events and training sessions with community project leaders (linking with 2.5).
- 3.5 Implement a buddy scheme for five Galapagos and three UK interns focused on projects and communications. This will initially (July August 2022) involve interns co-developing a Co-Galapagos Communications Plan (about SDG related community actions, focusing on women and young people) for tourist and community audiences and a core pack of communications materials (including a monthly biodiversity and/or SDG theme). The Plan will be implemented by successive interns along with the development of additional communications resources.
- 3.6 Interns support ongoing documentation of past and current projects on the Co-Galapagos website, including production of before and after videos with community project leaders.
- 4.1 Policy Lead compiles Co-Galapagos Policy Pack, including relevant policy documents/ event calendar to share with Co-Galapagos Project Leaders.
- 4.2 Policy Lead visits community project leaders for one-to-one consultations (to repeat every three months until project end) in coordination with the Project Coach, including initial consultation on their data and methods for supporting policy. Policy Lead runs monthly seminars/ online drop-in sessions to support knowledge building.
- 4.3 Policy Lead collects relevant data from community projects, pools results and maps to SDG priority targets. Outputs synthesised into six-monthly bilingual policy briefings provided to update Ecuadorian and UK political and research stakeholders, turned into a public-facing version as part of the Communications Plan.
- 4.4 Policy Lead provides six-monthly report to the Project Board and Galapagos Policy Advisory Group (part of the

Galapagos Hub), highlighting additional data collection opportunities through community projects, enabling future alignment of projects to what policymakers need. Potential for innovation and entrepreneurship highlighted for future funding opportunities around social innovation.

4.5 Recommendations developed for improving data flow between Co-Galapagos community project leaders and policymakers with methodology refined in 2022 - 23 documented and shared publicly.

#### **Important Assumptions:**

#### Please describe up to 6 key assumptions that, if held true, will enable you to deliver your Outputs and Outcome.

- 1. There are enough potential community project leaders interested in running projects with quality ideas that generate trackable data linked to priority SDG targets for Galapagos.
- 2. Training materials and approach (including the identification of mentors) effective for community leaders, and recommendations adopted and actioned.
- 3. Enough skilled young people interested in undertaking internships with Co-Galapagos with the willingness to contribute to and engage with the network.
- 4. Policymakers interested in using policy advice generated by Co-Galapagos.
- 5. Interest from other funding sources such as tourists and other NGOs to support scale-up in 2023 and beyond.

### **Section 11 - Budget and Funding**

#### Q21. Budget

Please complete the appropriate Excel spreadsheet, which provides the Budget for this application. Some of the questions earlier and below refer to the information in this spreadsheet. Note that there are different templates for projects requesting over and under £100,000 from the Darwin budget. Please refer to the Finance Guidance for more information.

- Budget form for projects under £100,000
- Budget form for projects over £100,000

Please ensure you include any co-financing figures in the Budget spreadsheet to clarify the full budget required to deliver this project.

N.B.: Please state all costs by financial year (1 April to 31 March) and in GBP. The Darwin Initiative cannot agree any increase in grants once awarded.

Please upload your completed Darwin Budget Form Excel spreadsheet using the field below.



#### Q22. Funding

Q22a. Is this a new initiative or does it build on existing work (delivered by anyone and funded through any source)?

New Initiative

#### Please provide details:

Co-Galapagos is a new initiative building on pilot work delivered in 2021 funded by the Evolution Education Trust via King's College of the University of Cambridge, Galapagos Conservation Trust and FUNCAVID. All listed partners are committed to

continuing their involvement, as evidenced in the Letters of Support. As mentioned in Q10, the 40 priority SDG targets were agreed in collaboration with CGREG and the community through a participatory process (2019-2021) which we have summarised in the Appendix (Figure 1A). Our methods to map priority SDG targets and their interactions for Galapagos were adopted from reports and methods developed by the International Council for Science and the Stockholm Environment Institute(14), whose approach aims to help achieve the SDGs in an efficient and integrated manner. The relationship between Co-Galapagos and the new Galapagos Hub is mutually beneficial, and we are capitalising on our established role within the Policy Advisory Group (part of the Galapagos Hub) to amplify community contributions to sustainable development. This project will be seed-funded by a grant from Evolution Education Trust of Incomplanuary 2022 (Incomplete Incomplete Incompl

#### Q22b. Are you aware of any current or future plans for similar work to the proposed project?

Yes

Please give details explaining similarities and differences, and explaining how your work will be additional and what attempts have been/will be made to co-operate with and learn lessons from such work for mutual benefits.

Our project has been designed to complement the activities of the Galapagos Hub as an approach to activate community action for the SDGs and as a mechanism to capture community projects and voices in policy advice. Despite being complementary to this initiative, we are confident that Co-Galapagos is unique; no similar platform currently exists to connect the Galapagos community with NGOs or individual donors and vice versa, and so we are confident that our approach is innovative for linking community action with international support. Due to the integration within the Galapagos Hub, we will ensure that we can cooperate closely with other major stakeholders in sustainable development, optimising opportunities to support policymakers. Although volunteering opportunities are numerous in Galapagos, we are not aware of any paid internship schemes for young locals not associated with a private university.

#### Q23. Capital items

If you plan to purchase capital items with Darwin funding, please indicate what you anticipate will happen to the items following project end. If you are requesting more than 10% capital costs, please provide your justification here.

N/A

#### Q24. Value for Money

Please describe why you consider your application to be good value for money including justification of why the measures you will adopt will secure value for money.

We have ensured excellent value for money during the project through a stringent budgeting process keeping UK staff costs low and prioritising funding for Ecuadorian team members. Going forward, we will ensure multiple competitive quotes are received prior to purchasing goods and services to maximise value for money. We will be bringing in two consultants based in Ecuador to provide in-country expertise for this project, providing training for local FUNCAVID staff members to ensure capacity remains after the end of the project. Part of their work will be to support the development of the Co-Galapagos Strategic Plan which will outline the feasibility of new permanent staff roles in FUNCAVID to manage future scaling, according to the implementation of a sustainable funding mechanism and stronger organisational policies. We will ensure these consultants are paid a fair and decent wage through this project, reflecting their experience and ensuring their engagement throughout.

We will directly benefit livelihoods by building skills and sharing knowledge with 30 community project team members and 11 interns, as well as empowering them to reach project beneficiaries to encourage further collective action towards achieving the priority SDG targets by 2030. Via our 10 community projects, we will reach an estimated 150 community members via participation in the community led projects. We anticipate a local reach of 1,000 community members through our communications campaign and a further international reach of 20,000 through communications on core partners websites and social media accounts.

## **Section 12 - Safeguarding and Ethics**

#### Q25. Safeguarding

Projects funded through the Darwin Initiative must fully protect vulnerable people all of the time, wherever they work. In order to provide assurance of this, projects are required to have appropriate safeguarding policies in place.

Please confirm the Lead Partner has the following policies in place and that these can be available on request:

Please upload the Lead Partner's Safeguarding Policy as a PDF on the certification page.

We have a safeguarding policy, which includes a statement of our commitment to safeguarding and a zero tolerance statement on bullying, harassment and sexual exploitation and abuse	Checked
We have attached a copy of our safeguarding policy to this application (file upload on certification page)	Checked
We keep a detailed register of safeguarding issues raised and how they were dealt with	Checked
We have clear investigation and disciplinary procedures to use when allegations and complaints are made, and have clear processes in place for when a disclosure is made	Checked
We share our safeguarding policy with downstream partners	Checked
We have a whistle-blowing policy which protects whistle blowers from reprisals and includes clear processes for dealing with concerns raised	Checked
We have a Code of Conduct for staff and volunteers that sets out clear expectations of behaviours - inside and outside the work place - and make clear what will happen in the event of non-compliance or breach of these standards	Checked

Please outline how you will implement your safeguarding policies in practice and ensure that downstream partners apply the same standards as the Lead Partner.

GCT's safeguarding policy outlines our commitment to protect those coming into contact with us and our projects from harm. Appropriate training is given to staff to ensure our policy is implemented and staff respond appropriately to issues. GCT requires partners to have zero tolerance for any behaviours which may harm others. Our safeguarding policy is summarised in all agreements detailing what we expect from partners and how to raise safeguarding issues. This project will further support impact in this area within Galapagos by developing bilingual policies and documentation for local usage and ensuring all projects adhere to high safeguarding standards.

#### **Section 13 - FCDO Notifications**

#### **Q26. FCDO Notifications**

Please state whether there are sensitivities that the Foreign Commonwealth and Development Office will need to be aware of should they want to publicise the project's success in the Darwin Initiative in any country.

No

Please indicate whether you have contacted FCDO Embassy or High Commission to discuss the project and attach details of any advice you have received from them.

• Yes (no written advice)

## **Section 14 - Project Staff**

### Q27. Project staff

Please identify the core staff (identified in the budget), their role and what % of their time they will be working on the project.

Please provide 1-page CVs or job description, further information on who is considered core staff can be found in the <u>Finance Guidance</u>.

Name (First name, Surname)	Role	% time on project	1 page CV or job description attached?
Sophia Cooke	Project Leader	75	Checked
Jen Jones	Lead Applicant, Head of Programmes (GCT, UK)	10	Checked
Daniel Proaño Bravo	Co-Galapagos Director (FUNCAVID, Galapagos)	30	Checked
Roberto Carlos Proaño Bravo	Co-Galapagos Project Liaison (FUNCAVID, Galapagos)	100	Checked

#### Do you require more fields?

Yes

Name (First name, Surname)	Role	% time on project	1 page CV or job description attached?
Lucia Norris Crespo	Policy Lead (GCT, Ecuador)	60	Checked
Lisa Wheeler	Projects Manager (GCT, UK)	10	Checked
Consultant, to be recruited	Co-Galapagos Project Coach (GCT, Ecuador)	100	Checked
No Response	No Response	0	Unchecked
No Response	No Response	0	Unchecked
No Response	No Response	0	Unchecked
No Response	No Response	0	Unchecked
No Response	No Response	0	Unchecked

Please provide 1 page CVs (or job description if yet to be recruited) for the project staff listed above as a combined PDF.

Ensure the file is named clearly, consistent with the named individual and role above.



Have you attached all project staff CVs?

Yes

### **Section 15 - Project Partners**

#### **Q28. Project partners**

Load partner name:

Please list all the Project Partners (including the Lead Partner), clearly setting out their roles and responsibilities in the project including the extent of their engagement so far and planned.

This section should demonstrate the capability and capacity of the Project Partners to successfully deliver the project. Please provide Letters of Support for all project partners or explain why this has not been included.

The partners listed here should correspond to the Delivery Chain Risk Map (within the Risk Register template) which you will be asked to submit if your project is recommended for funding.

Galanagos Conservation Trust (GCT)

Lead partner name:	Galapagos Conservation Trust (GCT)
Website address:	https://galapagosconservation.org.uk/
Why is this organisation the Lead Partner, and what value to they bring to the project?	GCT is the Lead Partner due to their experience of coordinating multi-partner programmes in Galapagos over the last 25 years spearheading conservation interventions from grassroots to Government.
(including roles, responsibilities and capabilities and capacity):	Roles & Responsibilities: Monitoring & Evaluation, risk management, grant management, strategic operational, fundraising and communications support will be provided by Jen Jones and Lisa Wheeler.
	Capabilities & Capacity: GCT has strong experience in developing operational policies and implementing actions around safeguarding, Health and Safety and risk management. To strengthen our capacity to support stronger communication and collaboration between stakeholders, we will contract Lucia Norris as Policy Lead to support Co-Galapagos projects and connect their outputs with policy via the Galapagos Hub. Her key roles will be to i) generate support from Ecuadorian organisations and groups outside of Galapagos and facilitate any processes involving actors based in the mainland, and ii) facilitate the relationship between community projects and policymakers sharing data and insights into challenges and opportunities for collaborating to achieve the SDG targets.
International/In-country Partner	<b>⊙</b> International
Allocated budget (proportion or value):	

Represented on the Project Board	
Have you included a Letter of Support from this partner?	<b>⊙</b> Yes
Have you provided a cover letter?	

### Do you have partners involved in the Project?

Yes

1. Partner Name:	FUNCAVID (Fundación Un Cambio por la Vida)
Website address:	http://www.funcavid.org/
What value does this Partner bring to the project?	Our local NGO partner brings high value from their island perspective and experience over the last 11 years delivering community projects including through the COVID-19 pandemic.
(including roles, responsibilities and capabilities and capacity):	Roles and responsibilities: The FUNCAVID team led by Daniel and Roberto find suitable projects requiring funding and support the project leaders in applying to Co-Galapagos. They will provide one-on-one support with project and budget planning, as well as the application process itself. They are responsible for ensuring that each project submits the required updates on time and for disbursing the funds to each project.
	Capability and Capacity: With their 12 years of experience working with and supporting the community in Galapagos, FUNCAVID are extremely well set up to identify community projects that fit with the aims of Co-Galapagos. Daniel Proano and Roberto Proano both represent FUNCAVID in Co-Galapagos and support the Co-Galapagos Project Coach (to be contracted through FUNCAVID).
International/In-country Partner	● In-country
Allocated budget:	
Represented on the Project Board	⊙ No
Have you included a Letter of Support from this partner?	<b>⊙</b> Yes
2. Partner Name:	University of Cambridge
Website address:	https://www.cam.ac.uk

## What value does this Partner bring to the project?

The University of Cambridge is represented by Post-Doc, Dr Sophia Cooke, who is responsible for overseeing the project management of Co-Galapagos in partnership with Daniel Proaño, FUNCAVID.

(including roles, responsibilities and capabilities and capacity):

Roles and responsibilities: partner liaison, supporting assessment of community project applications, running the Co-Galapagos website, working with a network of tour agencies and guides to appeal to tourists in Galapagos for project-specific donations, and linking project leaders with institutions and individuals who can support the project in non-financial ways and runs the internship scheme. Sophia will also be working to generate policy advice from the results of community projects with the Policy Consultant and facilitate the production of necessary data for policymakers from community groups.

Capability and Capacity: The University has been involved in Galapagos research for decades. Their assistance with the SDG Agenda in the Islands was requested by the then Galapagos Minister in 2019. They developed the Policy Advisory Group and are one of eight members of the Galapagos Hub. They are currently completing a GCRF-funded project on economic diversification and COVID-19 recovery in Galapagos. Sophia is another core member of the Policy Advisory Group and represents the University on the Board of the Hub.

	Advisory Group and represents the University on the Board of the Hub.
International/In-country Partner	● International
Allocated budget:	
Represented on the Project Board	<b>⊙</b> Yes
Have you included a Letter of Support from this partner?	<b>⊙</b> Yes
3. Partner Name:	No Response
Website address:	No Response
What value does this Partner bring to the project?	No Response
(including roles, responsibilities and capabilities and capacity):	
International/In-country Partner	○ International ○ In-country
Allocated budget:	£0.00
Represented on the Project Board	○Yes ○No
Have you included a Letter of Support from this partner?	○Yes ○No

4. Partner Name:	No Response
Website address:	No Response
What value does this Partner bring to the project?	No Response
(including roles, responsibilities and capabilities and capacity):	
International/In-country Partner	○ International ○ In-country
Allocated budget:	£0.00
Represented on the Project Board	○ Yes ○ No
Have you included a Letter of Support from this partner?	○ Yes ○ No
5. Partner Name:	No Response
Website address:	No Response
What value does this Partner bring to the project?	No Response
(including roles, responsibilities and capabilities and capacity):	
International/In-country Partner	○ International ○ In-country
Allocated budget:	£0.00
Represented on the Project Board	○ Yes ○ No
Have you included a Letter of Support from this partner?	O Yes O No
6. Partner Name:	No Response
Website address:	No Response

What value does this Partner bring to the project?	No Response
(including roles, responsibilities and capabilities and capacity):	
International/In-country Partner	○ International ○ In-country
Allocated budget:	£0.00
Represented on the Project Board	○ Yes ○ No
Have you included a Letter of Support from this partner?	○ Yes ○ No
No Response  Please provide a cover letter and a c	etails regarding Partners involved in the project, please use the text field below.  ombined PDF of all letters of support.  er Capability and Capacity
Q29. Lead Partner Capability ar	nd Capacity
partner does not count)?	d a Darwin Initiative funding before (for the purposes of this question, being a
No     No	

was your organisation

What year

established/

incorporated/
registered?

What is the

**⊙** NGO

If no, please provide the below information on the lead partner.

01 January 1995

legal status of

your

organisation?

How is your organisation currently funded?

Around of GCT's unrestricted funding is from individuals either as regular donations, annual memberships, appeal donations, online shop revenue or gift aid from eligible donations. The remainder of our unrestricted funding and all of our restricted funding is from legacies, private trusts and foundations, grants, corporate partnerships and major donor giving. We are currently receiving a multi-year government grant from UKRI's Global Challenges Research Fund as part of the Pacific Plastics: Science to Solutions programme (co-led by the University of Exeter) and have previously received grants from the British Embassy in Quito.

Describe briefly the aims, activities and achievements of your organisation. Large organisations please note that this should describe your unit or department.

Aims	Galapagos Conservation Trust supports, develops and promotes projects that achieve measurable conservation action, sustainable living, and protection of the environment of Galapagos. Our Vision is of the unique nature and beauty of the Galapagos Archipelago conserved, sustained and protected in perpetuity.
Activities	Our current strategy focuses on increasing our impact through three programme themes: restoring habitats, protecting species and driving sustainable solutions. Spanning these three pillars is our commitment to eradicating invasive species, tackling climate change impacts and engaging people and communities through our livelihoods, education and outreach work.
Achievements	Since 1995; supporting the eradication of invasive brown rats from Rabida and goats from Isabela islands, launching an international education and outreach programme, 'Discovering Galapagos', and currently GCT is an important partner in the recently announced Galapagos Marine Reserve expansion, driving the science and policy needed to ensure protection.

Provide details of 3 contracts/projects held by the lead partner that demonstrate your credibility as an organisation and provide track record relevant to the project proposed.

These contracts/awards should have been held in the last 5 years and be of a similar size to the grant requested in your Darwin application.

Contract/Project 1 Title	Floreana Restoration Programme. Funded by Evolution Education Trust
Contract Value/Project budget (include currency)	
Duration (e.g. 2 years 3 months)	3 years
Role of organisation in project	Established network of key partners including Durrell Wildlife Conservation Trust and Island Conservation. Supported species research informing key reintroduction plans. Implemented multi-partner communication campaigns, produced educational materials and raised awareness and funding through events and appeals. GCT's funding is frequently leveraged to secure larger grants crucial to implementing the programme.

Brief summary of the aims, objectives and outcomes of the project The aim of this project is to restore Floreana island's ecosystem in order to protect the Island's rare and endangered plants and animals and benefit the local community. To do this, we are supporting partners to undertake three key components:

- 1. Mitigation: ensuring that all vulnerable species, as well as humans and livestock, are adequately protected during the baiting phase of the project. This includes improving farming infrastructure, pet management and production of local species mitigation plans.
- 2. Eradication: targeted baiting to eradicate non-native rats and feral cats.
- 3. Reintroduction: the repatriation of locally extinct species including the Floreana mockingbird.

Client/independent reference contact details (Name, e-mail)

## Contract/Project 2 Title

Reducing the impacts of plastic waste in the Eastern Pacific Ocean. Funded by Global Challenges Research Fund (UKRI) to Professor Tamara Galloway OBE, University of Exeter

#### Contract Value/Project budget (include currency)

Full project budget: GCT managed award: (over 4 years)

## Duration (e.g. 2 years, 3 months)

4 years

# Role of organisation in project

Managing work packages and coordinating the international 'Pacific Plastics: Science to Solutions' network, GCT is building capacity for plastic research and industry in the Eastern Pacific region. Informed by robust research outputs, we are designing and supporting locally relevant practical interventions to reduce plastic pollution in Galapagos and mainland Ecuador.

#### Brief summary of the aims, objectives and outcomes of the project

Our aim is to protect marine biodiversity and livelihoods by reducing plastic leakage in the Eastern Pacific region. To do this we are supporting the development of a sustainable circular economic system for plastics that integrates communities into solutions.

- 1. We are mapping the sources and transport of plastic to the ocean, using oceanographic modelling, life cycle assessments of materials in key industries, and material waste flow analysis.
- 2. We are assessing the ecological, socioeconomic and health impacts of plastic pollution.
- 3. We are testing innovative interventions with grassroots initiatives, NGO's, businesses, and industry partners.

Client/independent reference contact details (Name, e-mail)

# e-mail)

**Contract/Project 3** 

Creating a Protected Swimway for the Endangered Sharks of Galapagos. Funded by Prince Albert II of Monaco Foundation.

**Title** 

Contract Value/Project budget (include currency)	
Duration (e.g. 2 years, 3 months)	2 years
Role of organisation in project	<ol> <li>Project management, including partner liaison, grant management and reporting, and overseeing implementation of activities.</li> <li>Designing an outreach programme for shark and marine conservation to deliver in Galapagos, including developing new educational resources and a storybook and co-managing a local Outreach Coordinator.</li> <li>Project communications, including multi-partner advocacy efforts.</li> </ol>
Brief summary of the aims, objectives and outcomes of the project	Aim: improve protection for threatened sharks in the Eastern Tropical Pacific via creation of the Galapagos-Cocos Swimway and reduce regional shark bycatch.  Objectives: i) build an evidence base to establish the importance of the Galapagos-Cocos Swimway; ii) embrace new technology to establish baseline abundance of migratory species; iii) build biotelemetry capacity including by supporting local students, and; iv) develop a strong outreach campaign.  Key Outcomes: Export ban for five Critically Endangered shark species. 60,000km2 of new marine protections for Galapagos connected to new protections announced for Cocos (Costa Rica), Coiba (Panama) and Malpelo (Colombia) islands (overall size >500,000km2).
Client/independent reference contact details (Name, e-mail)	

Have you provided the requested signed audited/independently examined accounts (or other financial evidence - see Financial Guidance)?

If yes, please upload these on the certification page. Note that this is not required from Government Agencies.

Yes

### **Section 17 - Certification**

#### Q30. Certification

#### On behalf of the

Trustees

of

**Galapagos Conservation Trust** 

#### I apply for a grant of

£82,628.00

I certify that, to the best of our knowledge and belief, the statements made by us in this application are true and the information provided is correct. I am aware that this application form will form the basis of the project schedule

#### should this application be successful.

(This form should be signed by an individual authorised by the applicant institution to submit applications and sign contracts on their behalf.)

- I have enclosed CVs for project key project personnel, letters of support, budget, safeguarding policy and project implementation timetable (uploaded at appropriate points in application)
- Our last two sets of signed audited/independently verified accounts and annual report (or other financial evidence see Financial Guidance) are also enclosed.

Checked

Name	Jennifer Jones
Position in the organisation	Head of Programmes
Signature (please upload e-signature)	
Date	06 December 2021

Please attach the requested signed audited/independently examined accounts.



Please upload the Lead Partner's Safeguarding Policy as a PDF



## **Section 18 - Submission Checklist**

#### **Checklist for submission**

	Check
I have read the Guidance, including the "Darwin Initiative Guidance", "Monitoring Evaluation and Learning Guidance", "Supplementary Guidance for Capability & Capacity Projects", "Risk Management Guidance", and "Financial Guidance".	Checked
I have read, and can meet, the current Terms and Conditions for this fund.	Checked
I have provided actual start and end dates for the project.	

I have provided my budget based on UK government financial years i.e. 1 April – 31 March and in GBP.	Checked
I have checked that our budget is complete, correctly adds up and I have included the correct final total at the start of the application.	Checked
The application been signed by a suitably authorised individual (clear electronic or scanned signatures are acceptable).	Checked
(If copying and pasting into Flexi-Grant) I have checked that all my responses have been successfully copied into the online application form.	Checked
I have included a 1 page CV or job description for all the Project Staff identified at Question 27, including the Project Leader, or provided an explanation of why not.	Checked
I have included a letter of support from the Lead Partner and partner(s) identified at Question 28, or an explanation of why not.	Checked
I have included a cover letter from the Lead Partner, outlining how any feedback received at Stage 1 has been addressed where relevant.	Checked
I have included a copy of the Lead Partner's safeguarding policy, which covers the criteria listed in Question 25.	Checked
I have been in contact with the FCDO in the project country/ies and have included any evidence of this. If not, I have provided an explanation of why not.	Checked
I have included a signed copy of the last 2 annual report and accounts for the Lead Partner (or other financial evidence – see Financial Guidance), or provided an explanation if not.	Checked
I have checked the Darwin Initiative website immediately prior to submission to ensure there are no late updates.	Checked
I have read and understood the Privacy Notice on the Darwin Initiative website.	Checked

#### We would like to keep in touch!

Please check this box if you would be happy for the lead applicant (Flexi-Grant Account Holder) and project leader (if different) to be added to our mailing list. Through our mailing list we share updates on upcoming and current application rounds under the Darwin Initiative and our sister grant scheme, the IWT Challenge Fund. We also provide occasional updates on other UK Government activities related to biodiversity conservation and share our quarterly project newsletter. You are free to unsubscribe at any time.

Unchecked

#### Data protection and use of personal data

Information supplied in the application form, including personal data, will be used by Defra as set out in the **Privacy Notice**, available from the Forms and Guidance Portal.

This **Privacy Notice must be provided to all individuals** whose personal data is supplied in the application form. Some information may be used when publicising the Darwin Initiative including project details (usually title, lead partner, project leader, location, and total grant value).